



## Restricted OCC Clearing Numbers

Restricted OCC Number	Clearing Participant Contact Information	Effective Restriction Date
443	Brian Reschke VP, Trading Services Pershing, LLC, a BNY Mellon Company Phone: (212) 815-3024 Email: Brian.Reschke@Pershing.com	12/16/19
161	Gary A. Hunt Director Global Execution Services BofA Securities, Inc. 110 N. Wacker Drive, 26th Floor Chicago, IL 60606 Mailcode: IL4-110-26-15 w: 312-234-4008 Email: gary.hunt@bofa.com	12/16/19
792	Gary A. Hunt Director Global Execution Services BofA Securities, Inc. 110 N. Wacker Drive, 26th Floor Chicago, IL 60606 Mailcode: IL4-110-26-15 w: 312-234-4008 Email: gary.hunt@bofa.com	12/16/19
519	Mark Morrison Director Global Prime Financing BofA Securities, Inc. 110 N. Wacker Drive, 26 <sup>th</sup> Floor Chicago, IL 60606 w: +1-312-828-3166 Email: mmorrison3@bofa.com	12/16/19

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540	Mark Morrison Director Global Prime Financing BofA Securities, Inc. 110 N. Wacker Drive, 26 <sup>th</sup> Floor Chicago, IL 60606 w: +1-312-828-3166 Email: mmorrison3@bofa.com	12/16/19
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010	Goldman, Sachs & Co. 222 South Main St Salt Lake City, UT 84101 Email: occ-membership@ny.email.gs.com; occ-membership@gs.com	12/26/19

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840	Goldman, Sachs & Co. 222 South Main St Salt Lake City, UT 84101 Email: occ-membership@ny.email.gs.com; occ-membership@gs.com	12/26/19
733	Gary A. Hunt Director Global Execution Services BofA Securities, Inc. 110 N. Wacker Drive, 26th Floor Chicago, IL 60606 Mailcode: IL4-110-26-15 w: 312-234-4008 Email: gary.hunt@bofa.com	05/27/21
595	Tom McCabe Vision Financial Services LLC 120 Long Ridge Road, 3 North Stamford, CT 06902 Ph: (203) 388-2676 Email: Tmccabe@vfmarkets.com	11/04/21