



BOXSM
REGULATION

Regulatory Circular

RC-2020-24

TO: BOX Options Participants
FROM: BOX Regulation
SUBJECT: Industry Presentation by CMRWG on CAT Compliance Rules
DATE: July 17, 2020

BOX Exchange ("BOX") is issuing this regulatory circular to inform BOX Participants of a scheduled industry presentation by the Cross Market Regulation Working Group ("CMRWG") and FINRA CAT regarding SRO regulation of Consolidated Audit Trail ("CAT") Compliance Rules and FINRA CAT's role in CAT compliance.

Please see the [ISG CMRWG Regulatory Notice 2020-02](#) that was issued by the participants of the ISG CMRWG.

Contact

Please review the information provided herein. If you have any questions regarding this information, please contact BOX Regulation at BOXMRC@BOXregulation.com.