

Regulatory Circular

RC-2020-10

TO: BOX Options Participants

FROM: BOX Regulation

SUBJECT: Penny Pilot Issue Replacements

DATE: April 1, 2019

Per an industry-wide initiative to replace delisted Penny Pilot Program issues, BOX Exchange will make the following replacements in the Penny Pilot Program effective **Thursday, April 2, 2020**.

The following issues will be replaced:

AKS	AK Steel Holding Corp.
HMNY	Helios & Matheson Analytics Inc.
LKM	Link Motion Inc.

The replacements will be:

DHR	Danaher Corporation
LK	Luckin Coffee Inc.
SPCE	Virgin Galactic Holdings, Inc.

A complete list of Penny Pilot Names can be found here.

Contact

Please review the information provided herein. If you have any questions regarding this information, please contact BOX Regulation at BOXMRC@BOXRegulation.com.