



BOXSM
REGULATION

Regulatory Circular

RC-2019-20

TO: BOX Options Participants
FROM: BOX Regulation
SUBJECT: Penny Pilot Issue Replacements
DATE: December 30, 2019

Per an industry-wide initiative to replace delisted Penny Pilot Program issues, BOX Exchange will make the following replacements in the Penny Pilot Program effective **Friday, January 3, 2020**.

The following issues will be replaced:

CELG	Celgene Corporation
STI	SunTrust Banks Inc.

The replacements will be:

KHC	Kraft Heinz Co.
MDR	McDermott International Inc.
UBER	UBER Technologies Inc.

A complete list of Penny Pilot Names can be found [here](#).

Contact

Please review the information provided herein. If you have any questions regarding this information, please contact BOX Regulation at BOXMRC@BOXregulation.com.