

Regulatory Circular

RC-2019-01

TO: BOX Options Participants

FROM: BOX Regulation

SUBJECT: Penny Pilot Issue Replacements - UPDATE

DATE: January 2, 2019

Per an industry-wide initiative to replace delisted Penny Pilot Program issues, BOX Exchange will make the following replacements in the Penny Pilot Program effective **Thursday**, **January 3**, **2019**.

The following issues will be replaced [UPDATED]:

AET	Aetna Inc
ANDV	Andeavor (formerly Tesoro Corporation)
ESRX	Express Scripts Inc.
GGP	General Growth properties Inc
ILG	ILG Inc
SDRL	Seadrill Ltd
XL	XL Group Plc

The replacements will be:

CGC	Canopy Growth Corporation
CRON	Cronos Group Inc.
CZR	Caesars Entertainment Corp
FOXA	Twenty-First Century Fox Incorporated
FTV	Fortive Corp
IQ	IQIYI Inc
TLRY	Tilary Inc

A complete list of Penny Pilot Names can be found here.

Contact

Please review the information provided herein. If you have any questions regarding this information, please contact BOX Regulation at BOXMRC@B