



Short Term Option Series Qualifying Securities for Q4 of 2026

TO: BOX Options Participants
FROM: BOX Market Operations Center
SUBJECT: Short Term Option Series Qualifying Securities for Q4 of 2026
DATE: October 1, 2026

Pursuant to IM-5050-6 of Rule 5050, a Qualifying Security is an individual stock or ETF that, on a quarterly basis:

- (1) has an underlying security, as measured on the last day of the prior calendar quarter, with:
 - (A) a market capitalization of greater than 700 billion dollars for an individual stock based on the closing price, or
 - (B) (i) assets under management ("AUM") of greater than 50 billion dollars for an Exchange-Traded Fund Share based on its net asset value ("NAV"); or (ii) AUM greater than 25 billion dollars for an Exchange-Traded Fund Share based on NAV;
- (2) has monthly options volume, as measured by sides traded in the last month preceding the quarter end, of greater than 10 million options; or monthly options volume, as measured by sides traded in the last month preceding the quarter end, of greater than 5 million options for an Exchange-Traded Fund Share that qualified for (B)(ii) above;
- (3) has a position limit of at least 250,000 contracts; and
- (4) participates in the Penny Interval Program.

A Qualifying Security expiry would not be listed on a day when there will be an Earnings Announcement that takes place after market close. Each calendar quarter, the aforementioned criteria will be reassessed to determine eligibility for the following quarter as a Qualifying Security. BOX will make available the list of Qualifying Securities that meet the above criteria.

The following securities are Qualifying Securities for Q4 of 2026 in Table 2 of IM-5050-6(a):

Individual Stock Qualifying Securities:

Tesla, Inc. (TSLA)
NVIDIA Corporation (NVDA)
Apple Inc. (AAPL)
Amazon.com, Inc. (AMZN)
Meta Platforms, Inc. (META)
Broadcom Inc. (AVGO)
Alphabet, Inc. (GOOGL)
Advanced Micro Devices, Inc. (MU)
Space Exploration Technologies Corp. (SPCX)
Advanced Micro Devices, Inc. (AMD)
Microsoft Corporation (MSFT)

ETF Qualifying Securities that qualify as (B)(i):

SPDR Gold Trust (GLD)
iShares Bitcoin Trust ETF (IBIT)
State Street Financial Select Sector SPDR ETF (XLF)

ETF Qualifying Securities that qualify as (B)(ii):

iShares 20+ Year Treasury Bond ETF (TLT)
iShares Silver Trust (SLV)
ProShares UltraPro QQQ (TQQQ)
Roundhill Memory ETF (DRAM)
VanEck Semiconductor ETF (SMH)
State Street Energy Select Sector SPDR ETF (XLE)
VanEck Gold Miners ETF (GDX)
iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)
iShares 7-10 Year Treasury Bond ETF (IEF)

Contact

Please contact the BOX Market Operations Center at (866) 768-8845 or by e-mail at BOXMOC@boxoptions.com should you require additional information.