



Restricted OCC Clearing Numbers

Restricted OCC Number	Clearing Participant Contact Information	Effective Restriction Date
443	Brian Reschke VP, Trading Services Pershing, LLC, a BNY Mellon Company Phone: (212) 815-3024 Email: Brian.Reschke@Pershing.com	12/16/19
161	Gary A. Hunt Director Global Execution Services Bank of America Merrill Lynch BofA Securities, Inc. 540 West Madison St., 28th Floor, Chicago, IL 60661 w: 312-234-4008 c: 630-404-2510 Email: gary.hunt@bofa.com	12/16/19
792	Gary A. Hunt Director Global Execution Services Bank of America Merrill Lynch BofA Securities, Inc. 540 West Madison St. 28th Floor Chicago, IL 60661 w: 312-234-4008 c: 630-404-2510 Email: gary.hunt@bofa.com	12/16/19
519	Mark Morrison Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor New York, NY 10036 w: +1-646-743-1241 Email: mmorrison3@baml.com	12/16/19

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540	Mark Morrison Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor New York, NY 10036 w: +1-646-743-1241 Email: mmorrison3@baml.com	12/16/19
551	Mark Morrison Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor New York, NY 10036 w: +1-646-743-1241 Email: mmorrison3@baml.com	12/16/19
552	Mark Morrison Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor New York, NY 10036 w: +1-646-743-1241 Email: mmorrison3@baml.com	12/16/19
598	Mark Morrison Director Equities Asset Management Services Bank of America Merrill Lynch One Bryant Park, 6th Floor New York, NY 10036 w: +1-646-743-1241 Email: mmorrison3@baml.com	12/16/19
010	Goldman, Sachs & Co. 222 South Main St Salt Lake City, UT 84101 Email: occ-membership@ny.email.gs.com ; occ-membership@gs.com	12/26/19

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200	Goldman, Sachs & Co. 222 South Main St Salt Lake City, UT 84101 Email: occ-membership@ny.email.gs.com ; occ-membership@gs.com	12/26/19
840	Goldman, Sachs & Co. 222 South Main St Salt Lake City, UT 84101 Email: occ-membership@ny.email.gs.com ; occ-membership@gs.com	12/26/19
733	Gary A. Hunt Director Global Execution Services Bank of America Merrill Lynch BofA Securities, Inc. 540 West Madison St., 28th Floor, Chicago, IL 60661 w: 312-234-4008 c: 630-404-2510 Email: gary.hunt@bofa.com	05/27/21
595	Tom McCabe Vision Financial Services LLC 120 Long Ridge Road, 3 North Stamford, CT 06902 Ph: (203) 388-2676 Email: Tmccabe@vfmarkets.com	11/04/21