

Informational Circular

IC-2021-08

TO: BOX Options Participants

FROM: BOX Market Operations Center

SUBJECT: Penny Program Additions

DATE: March 1st, 2021

The permanent Penny Interval Program for options (the "Penny Program"), was adopted on July 1, 2020 by BOX Exchange LLC ("BOX") and includes provisions for active new issues to be added to the Penny Program. The Exchange may add to the Penny Program a newly listed option class provided that:

- (i) it is among the 300 most actively traded multiply listed option classes, as ranked by National Cleared Volume at OCC, in its first full calendar month of trading; and
- (ii) the underlying security is priced below \$200 or the underlying index is at an index level below \$200.

The following issues which were first listed for options in January 2021, ranked in the Top 300 most active issues for the month of February 2021, and will be added to the Penny Program effective **March 2nd**, **2021**:

- BioNano Genomics, Inc. (Ticker: **BNGO**)
- Foley Trasimene Acquisition II Corp. (Ticket: **BFT**)
- Canaan Inc. ADR (Ticker: CAN)
- Churchill Capital Corp. IV (Ticker: **CCIV**)
- Ebang International Holdings Inc. (Ticker: **EBON**)

A complete list of Penny Program names can be found here

Contact

Please contact the Market Operations Center at (866) 768-8845 or by e-mail at BOXMOC@boxoptions.com should you require additional information.