



**BOX**<sup>SM</sup>  
O P T I O N S

Informational Circular

IC-2020-32

**TO: BOX Options Participants**  
**FROM: BOX Market Operations Center**  
**SUBJECT: Update to Trade Detail Files**  
**DATE: December 7, 2020**

On **January 4, 2021**, BOX Options ("BOX") will update the monthly and daily trade detail files. Please note that the December 2020 Monthly Trade Detail file which is published in early January will be in the new file format.

Nine new fields will be added to the end of the files:

Order	Field Name	Max Characters		Column	Description
		Header	Field	Ref	
66	PostTrade Opposite Account - Public Customer Quantity	53	10	BN	Proportion of allocation quantity distributed to opposite Public Customer Account Type
67	PostTrade Opposite Account - Broker Dealer Quantity	51	10	BO	Proportion of allocation quantity distributed to opposite Broker Dealer Account Type
68	PostTrade Opposite Account - Market Maker Quantity	50	10	BP	Proportion of allocation quantity distributed to opposite Market Maker Account Type
69	PostTrade Opposite Account - AAMM Quantity	42	10	BQ	Proportion of allocation quantity distributed to opposite Away Affiliated Marker Maker Account Type
70	PostTrade Opposite Account - Floor Broker Quantity	50	10	BR	Proportion of allocation quantity distributed to opposite Floor Broker Account Type
71	PostTrade Opposite Account - Floor Market Maker Quantity	56	10	BS	Proportion of allocation quantity distributed to opposite Floor Market Maker Account Type
72	PostTrade Opposite Account - Floor Broker Customer Quantity	59	10	BT	Proportion of allocation quantity distributed to opposite Floor Broker Customer Account Type
73	PostTrade Opposite Account - Broker Customer Quantity	53	10	BU	Proportion of allocation quantity distributed to opposite Broker Customer Account Type
74	PostTrade Opposite Account - Professional Customer Quantity	59	10	BV	Proportion of allocation quantity distributed to opposite Professional Customer Account Type

As a reminder, BOX Participants are able to obtain daily and monthly files detailing their trading activity. These files include billing information on a trade-by-trade basis.

- Files will be available on a daily basis by 2:00 AM EST
- Files can be downloaded using Internet SFTP or a Secure FTP site with IP Address: **198.235.27.150**
- Each file is in Comma Separated Value (CSV) format
- Headers are included in the file
- File Time Periods include:
  - Daily (T+1): All trades by the firm for the previous trading date
  - Cumulative (Monthly): Aggregated trades by firm for the month
- File Naming Convention:
  - Daily (T+1): FIRMID\_YYYYMMDD.csv
  - **Note:** *If there were no trades executed by the firm for the current day, then the daily file will be empty*
  - Cumulative (Monthly): FIRMID\_YYYYMM.csv.
- Files will be available on the FTP site for the current and previous month

### **Contact**

If you have any questions or concerns regarding the new file format, please contact the BOX Billing Department at [billing@boxregulation.com](mailto:billing@boxregulation.com) or the Market Operations Center at (866) 768-8845 or by e-mail at [BOXMOC@boxoptions.com](mailto:BOXMOC@boxoptions.com).