

Informational Circular

IC-2020-31

TO:BOX Options ParticipantsFROM:BOX Market Operations CenterSUBJECT:Penny Program AdditionsDATE:December 1st, 2020

The permanent Penny Interval Program for options (the "Penny Program"), was adopted on July 1, 2020 by BOX Exchange LLC ("BOX") and includes provisions for active new issues to be added to the Penny Program. The Exchange may add to the Penny Program a newly listed option class provided that:

- it is among the 300 most actively traded multiply listed option classes, as ranked by National Cleared Volume at OCC, in its first full calendar month of trading; and
- the underlying security is priced below \$200 or the underlying index is at an index level below \$200.

The following issues which were first listed for options in October 2020, ranked in the Top 300 most active issues for the month of November 2020, and will be added to the Penny Program effective December 2nd, 2020:

- FuboTV, Inc. (Ticker: FUBO)
- Nano Dimension Ltd ADR (Ticker: NNDM)
- Palantir Technologies, Inc. (Ticker: PLTR)
- Switchback Energy Acquisition Corporation (Ticker: SBE)

A complete list of Penny Program names can be found here

Contact

Please contact the Market Operations Center at (866) 768-8845 or by e-mail at <u>BOXMOC@boxoptions.com</u> should you require additional information.