

Position Consolidation September 28, 2026

Exchange/Memo ID: 207894

ONN / OCC ID: 59841



Dimensional US High Profitability ETF - Determination of Deliverable/Position Consolidation

DESCRIPTION:

Adjusted Dimensional US High Profitability ETF options were adjusted on September 28, 2026 (See OCC Information Memo #59829). The new deliverable became 1) A number of U.S. High Relative Profitability Portfolio ETF (DUHP) Shares, equivalent to the value of the existing DUHP shares, as described in the Information Statement, x 100 and 2) Cash in lieu of fractional DUHP Shares, if any. Settlement of the DUHP and cash components of DUHP1 options exercise/assignment activity were subject to delayed settlement.

OCC has been informed that the final distribution ratio is 1.0 (New) DUHP share per (Old) DUHP share held. Therefore, the deliverable for DUHP1 options is now 100 U.S. High Relative Profitability Portfolio ETF (DUHP) Shares.

The U.S. High Relative Profitability Portfolio ETF options (option symbol DUHP) also deliver 100 U.S. High Relative Profitability Portfolio ETF (DUHP) Shares. Consequently, OCC will consolidate all DUHP1 options into DUHP, thereafter eliminating trading symbol DUHP1. The consolidation will be effective before the opening of business on September 29, 2026.

The position consolidation will take place after all positions processing Monday, September 28, 2026 and before the start of business on Tuesday, September 29, 2026.

All clearing Member input of existing DUHP1 options must use symbol DUHP for activity commencing Tuesday, September 29, 2026.

Symbol Consolidation:

Pursuant to the Rules of the Options Clearing Corporation ("OCC"), the below options will be adjusted as follows.

Adjustment Date: September 29, 2026

Option Symbol: DUHP1 becomes DUHP

Underlying Security: DUHP remains DUHP

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

New Deliverable/Contract: 100 U.S. High Relative Profitability Portfolio ETF (DUHP) Shares

Additional Exchange Info: Any resting orders in the above options in the BOX order book will be cancelled by the BOX Market Operations Center after the close on September 28, 2026.

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
DUHP1	C/P	32.00	10/16/2026	DUHP	C/P	32.00	10/16/2026
DUHP1	C/P	33.00	10/16/2026	DUHP	C/P	33.00	10/16/2026
DUHP1	C/P	34.00	10/16/2026	DUHP	C/P	34.00	10/16/2026
DUHP1	C/P	35.00	10/16/2026	DUHP	C/P	35.00	10/16/2026
DUHP1	C/P	36.00	10/16/2026	DUHP	C/P	36.00	10/16/2026
DUHP1	C/P	37.00	10/16/2026	DUHP	C/P	37.00	10/16/2026

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
DUHP1	C/P	38.00	10/16/2026	DUHP	C/P	38.00	10/16/2026
DUHP1	C/P	39.00	10/16/2026	DUHP	C/P	39.00	10/16/2026
DUHP1	C/P	40.00	10/16/2026	DUHP	C/P	40.00	10/16/2026
DUHP1	C/P	41.00	10/16/2026	DUHP	C/P	41.00	10/16/2026
DUHP1	C/P	42.00	10/16/2026	DUHP	C/P	42.00	10/16/2026
DUHP1	C/P	43.00	10/16/2026	DUHP	C/P	43.00	10/16/2026
DUHP1	C/P	44.00	10/16/2026	DUHP	C/P	44.00	10/16/2026
DUHP1	C/P	45.00	10/16/2026	DUHP	C/P	45.00	10/16/2026
DUHP1	C/P	46.00	10/16/2026	DUHP	C/P	46.00	10/16/2026
DUHP1	C/P	47.00	10/16/2026	DUHP	C/P	47.00	10/16/2026
DUHP1	C/P	48.00	10/16/2026	DUHP	C/P	48.00	10/16/2026
DUHP1	C/P	49.00	10/16/2026	DUHP	C/P	49.00	10/16/2026
DUHP1	C/P	50.00	10/16/2026	DUHP	C/P	50.00	10/16/2026
DUHP1	C/P	51.00	10/16/2026	DUHP	C/P	51.00	10/16/2026
DUHP1	C/P	52.00	10/16/2026	DUHP	C/P	52.00	10/16/2026
DUHP1	C/P	28.00	11/20/2026	DUHP	C/P	28.00	11/20/2026
DUHP1	C/P	29.00	11/20/2026	DUHP	C/P	29.00	11/20/2026
DUHP1	C/P	30.00	11/20/2026	DUHP	C/P	30.00	11/20/2026
DUHP1	C/P	31.00	11/20/2026	DUHP	C/P	31.00	11/20/2026
DUHP1	C/P	32.00	11/20/2026	DUHP	C/P	32.00	11/20/2026
DUHP1	C/P	33.00	11/20/2026	DUHP	C/P	33.00	11/20/2026
DUHP1	C/P	34.00	11/20/2026	DUHP	C/P	34.00	11/20/2026
DUHP1	C/P	35.00	11/20/2026	DUHP	C/P	35.00	11/20/2026
DUHP1	C/P	36.00	11/20/2026	DUHP	C/P	36.00	11/20/2026
DUHP1	C/P	37.00	11/20/2026	DUHP	C/P	37.00	11/20/2026
DUHP1	C/P	38.00	11/20/2026	DUHP	C/P	38.00	11/20/2026
DUHP1	C/P	39.00	11/20/2026	DUHP	C/P	39.00	11/20/2026
DUHP1	C/P	40.00	11/20/2026	DUHP	C/P	40.00	11/20/2026
DUHP1	C/P	41.00	11/20/2026	DUHP	C/P	41.00	11/20/2026
DUHP1	C/P	42.00	11/20/2026	DUHP	C/P	42.00	11/20/2026
DUHP1	C/P	43.00	11/20/2026	DUHP	C/P	43.00	11/20/2026
DUHP1	C/P	44.00	11/20/2026	DUHP	C/P	44.00	11/20/2026
DUHP1	C/P	45.00	11/20/2026	DUHP	C/P	45.00	11/20/2026
DUHP1	C/P	46.00	11/20/2026	DUHP	C/P	46.00	11/20/2026
DUHP1	C/P	47.00	11/20/2026	DUHP	C/P	47.00	11/20/2026
DUHP1	C/P	48.00	11/20/2026	DUHP	C/P	48.00	11/20/2026
DUHP1	C/P	32.00	02/19/2027	DUHP	C/P	32.00	02/19/2027
DUHP1	C/P	33.00	02/19/2027	DUHP	C/P	33.00	02/19/2027
DUHP1	C/P	34.00	02/19/2027	DUHP	C/P	34.00	02/19/2027
DUHP1	C/P	35.00	02/19/2027	DUHP	C/P	35.00	02/19/2027
DUHP1	C/P	36.00	02/19/2027	DUHP	C/P	36.00	02/19/2027
DUHP1	C/P	37.00	02/19/2027	DUHP	C/P	37.00	02/19/2027
DUHP1	C/P	38.00	02/19/2027	DUHP	C/P	38.00	02/19/2027
DUHP1	C/P	39.00	02/19/2027	DUHP	C/P	39.00	02/19/2027
DUHP1	C/P	40.00	02/19/2027	DUHP	C/P	40.00	02/19/2027

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
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DUHP1	C/P	42.00	02/19/2027	DUHP	C/P	42.00	02/19/2027
DUHP1	C/P	43.00	02/19/2027	DUHP	C/P	43.00	02/19/2027
DUHP1	C/P	44.00	02/19/2027	DUHP	C/P	44.00	02/19/2027
DUHP1	C/P	45.00	02/19/2027	DUHP	C/P	45.00	02/19/2027
DUHP1	C/P	46.00	02/19/2027	DUHP	C/P	46.00	02/19/2027
DUHP1	C/P	47.00	02/19/2027	DUHP	C/P	47.00	02/19/2027
DUHP1	C/P	48.00	02/19/2027	DUHP	C/P	48.00	02/19/2027
DUHP1	C/P	49.00	02/19/2027	DUHP	C/P	49.00	02/19/2027
DUHP1	C/P	50.00	02/19/2027	DUHP	C/P	50.00	02/19/2027
DUHP1	C/P	51.00	02/19/2027	DUHP	C/P	51.00	02/19/2027
DUHP1	C/P	52.00	02/19/2027	DUHP	C/P	52.00	02/19/2027
DUHP1	C/P	31.00	05/21/2027	DUHP	C/P	31.00	05/21/2027
DUHP1	C/P	32.00	05/21/2027	DUHP	C/P	32.00	05/21/2027
DUHP1	C/P	33.00	05/21/2027	DUHP	C/P	33.00	05/21/2027
DUHP1	C/P	34.00	05/21/2027	DUHP	C/P	34.00	05/21/2027
DUHP1	C/P	35.00	05/21/2027	DUHP	C/P	35.00	05/21/2027
DUHP1	C/P	36.00	05/21/2027	DUHP	C/P	36.00	05/21/2027
DUHP1	C/P	37.00	05/21/2027	DUHP	C/P	37.00	05/21/2027
DUHP1	C/P	38.00	05/21/2027	DUHP	C/P	38.00	05/21/2027
DUHP1	C/P	39.00	05/21/2027	DUHP	C/P	39.00	05/21/2027
DUHP1	C/P	40.00	05/21/2027	DUHP	C/P	40.00	05/21/2027
DUHP1	C/P	41.00	05/21/2027	DUHP	C/P	41.00	05/21/2027
DUHP1	C/P	42.00	05/21/2027	DUHP	C/P	42.00	05/21/2027
DUHP1	C/P	43.00	05/21/2027	DUHP	C/P	43.00	05/21/2027
DUHP1	C/P	44.00	05/21/2027	DUHP	C/P	44.00	05/21/2027
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DUHP1	C/P	48.00	05/21/2027	DUHP	C/P	48.00	05/21/2027
DUHP1	C/P	49.00	05/21/2027	DUHP	C/P	49.00	05/21/2027
DUHP1	C/P	50.00	05/21/2027	DUHP	C/P	50.00	05/21/2027
DUHP1	C/P	51.00	05/21/2027	DUHP	C/P	51.00	05/21/2027
DUHP1	C/P	52.00	05/21/2027	DUHP	C/P	52.00	05/21/2027

Disclaimer: This Information Memo summarizes corporate events affecting listed options. No warranty of accuracy or completeness is given with respect to the summary. Option series information is based on series existing at OCC at the time this Memo was generated.

Contact Information: Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845 or emailed to boxmoc@boxoptions.com.