

Position Consolidation September 28, 2026

Exchange/Memo ID: 207893

ONN / OCC ID: 59843



Dimensional US Small Cap Value ETF - Determination of Deliverable/Position Consolidation

DESCRIPTION:

Information Memo #59827). The new deliverable became 1) A number of U.S. Small Cap Value Portfolio ETF (DFSV) Shares, equivalent to the value of the existing DFSV shares, as described in the Information Statement, x 100 and 2) Cash in lieu of fractional DFSV Shares, if any. Settlement of the DFSV and cash components of DFSV1 options exercise/assignment activity were subject to delayed settlement.

OCC has been informed that the final distribution ratio is 1.0 (New) DFSV share per (Old) DFSV share held. Therefore, the deliverable for DFSV1 options is now 100 U.S. Small Cap Value Portfolio ETF (DFSV) Shares.

The U.S. Small Cap Value Portfolio ETF options (option symbol DFSV) also deliver 100 U.S. Small Cap Value Portfolio ETF (DFSV) Shares. Consequently, OCC will consolidate all DFSV1 options into DFSV, thereafter eliminating trading symbol DFSV1. The consolidation will be effective before the opening of business on September 29, 2026.

The position consolidation will take place after all positions processing Monday, September 28, 2026 and before the start of business on Tuesday, September 29, 2026.

All clearing Member input of existing DFSV1 options must use symbol DFSV for activity commencing Tuesday, September 29, 2026.

Symbol Consolidation:

Pursuant to the Rules of the Options Clearing Corporation ("OCC"), the below options will be adjusted as follows.

Adjustment Date: 09/29/2026

Option Symbol: DFSV1 becomes DFSV

Underlying Security: DFSV remains DFSV

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

New Deliverable/Contract: 100 U.S. Small Cap Value Portfolio ETF (DFSV) Shares

Additional Exchange Info: Any resting orders in the above options in the BOX order book will be cancelled by the BOX Market Operations Center after the close on September 28, 2026.

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
DFSV1	C/P	28.00	10/16/2026	DFSV	C/P	28.00	10/16/2026
DFSV1	C/P	29.00	10/16/2026	DFSV	C/P	29.00	10/16/2026
DFSV1	C/P	30.00	10/16/2026	DFSV	C/P	30.00	10/16/2026
DFSV1	C/P	31.00	10/16/2026	DFSV	C/P	31.00	10/16/2026
DFSV1	C/P	32.00	10/16/2026	DFSV	C/P	32.00	10/16/2026
DFSV1	C/P	33.00	10/16/2026	DFSV	C/P	33.00	10/16/2026
DFSV1	C/P	34.00	10/16/2026	DFSV	C/P	34.00	10/16/2026

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
DFSV1	C/P	35.00	10/16/2026	DFSV	C/P	35.00	10/16/2026
DFSV1	C/P	36.00	10/16/2026	DFSV	C/P	36.00	10/16/2026
DFSV1	C/P	37.00	10/16/2026	DFSV	C/P	37.00	10/16/2026
DFSV1	C/P	38.00	10/16/2026	DFSV	C/P	38.00	10/16/2026
DFSV1	C/P	39.00	10/16/2026	DFSV	C/P	39.00	10/16/2026
DFSV1	C/P	40.00	10/16/2026	DFSV	C/P	40.00	10/16/2026
DFSV1	C/P	41.00	10/16/2026	DFSV	C/P	41.00	10/16/2026
DFSV1	C/P	42.00	10/16/2026	DFSV	C/P	42.00	10/16/2026
DFSV1	C/P	43.00	10/16/2026	DFSV	C/P	43.00	10/16/2026
DFSV1	C/P	44.00	10/16/2026	DFSV	C/P	44.00	10/16/2026
DFSV1	C/P	45.00	10/16/2026	DFSV	C/P	45.00	10/16/2026
DFSV1	C/P	46.00	10/16/2026	DFSV	C/P	46.00	10/16/2026
DFSV1	C/P	31.00	11/20/2026	DFSV	C/P	31.00	11/20/2026
DFSV1	C/P	32.00	11/20/2026	DFSV	C/P	32.00	11/20/2026
DFSV1	C/P	33.00	11/20/2026	DFSV	C/P	33.00	11/20/2026
DFSV1	C/P	34.00	11/20/2026	DFSV	C/P	34.00	11/20/2026
DFSV1	C/P	35.00	11/20/2026	DFSV	C/P	35.00	11/20/2026
DFSV1	C/P	36.00	11/20/2026	DFSV	C/P	36.00	11/20/2026
DFSV1	C/P	37.00	11/20/2026	DFSV	C/P	37.00	11/20/2026
DFSV1	C/P	38.00	11/20/2026	DFSV	C/P	38.00	11/20/2026
DFSV1	C/P	39.00	11/20/2026	DFSV	C/P	39.00	11/20/2026
DFSV1	C/P	40.00	11/20/2026	DFSV	C/P	40.00	11/20/2026
DFSV1	C/P	41.00	11/20/2026	DFSV	C/P	41.00	11/20/2026
DFSV1	C/P	42.00	11/20/2026	DFSV	C/P	42.00	11/20/2026
DFSV1	C/P	43.00	11/20/2026	DFSV	C/P	43.00	11/20/2026
DFSV1	C/P	44.00	11/20/2026	DFSV	C/P	44.00	11/20/2026
DFSV1	C/P	45.00	11/20/2026	DFSV	C/P	45.00	11/20/2026
DFSV1	C/P	46.00	11/20/2026	DFSV	C/P	46.00	11/20/2026
DFSV1	C/P	47.00	11/20/2026	DFSV	C/P	47.00	11/20/2026
DFSV1	C/P	48.00	11/20/2026	DFSV	C/P	48.00	11/20/2026
DFSV1	C/P	49.00	11/20/2026	DFSV	C/P	49.00	11/20/2026
DFSV1	C/P	28.00	01/15/2027	DFSV	C/P	28.00	01/15/2027
DFSV1	C/P	29.00	01/15/2027	DFSV	C/P	29.00	01/15/2027
DFSV1	C/P	30.00	01/15/2027	DFSV	C/P	30.00	01/15/2027
DFSV1	C/P	31.00	01/15/2027	DFSV	C/P	31.00	01/15/2027
DFSV1	C/P	32.00	01/15/2027	DFSV	C/P	32.00	01/15/2027
DFSV1	C/P	33.00	01/15/2027	DFSV	C/P	33.00	01/15/2027
DFSV1	C/P	34.00	01/15/2027	DFSV	C/P	34.00	01/15/2027
DFSV1	C/P	35.00	01/15/2027	DFSV	C/P	35.00	01/15/2027
DFSV1	C/P	36.00	01/15/2027	DFSV	C/P	36.00	01/15/2027
DFSV1	C/P	37.00	01/15/2027	DFSV	C/P	37.00	01/15/2027
DFSV1	C/P	38.00	01/15/2027	DFSV	C/P	38.00	01/15/2027
DFSV1	C/P	39.00	01/15/2027	DFSV	C/P	39.00	01/15/2027
DFSV1	C/P	40.00	01/15/2027	DFSV	C/P	40.00	01/15/2027
DFSV1	C/P	41.00	01/15/2027	DFSV	C/P	41.00	01/15/2027

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DFSV1	C/P	43.00	01/15/2027	DFSV	C/P	43.00	01/15/2027
DFSV1	C/P	44.00	01/15/2027	DFSV	C/P	44.00	01/15/2027
DFSV1	C/P	45.00	01/15/2027	DFSV	C/P	45.00	01/15/2027
DFSV1	C/P	46.00	01/15/2027	DFSV	C/P	46.00	01/15/2027
DFSV1	C/P	31.00	04/16/2027	DFSV	C/P	31.00	04/16/2027
DFSV1	C/P	32.00	04/16/2027	DFSV	C/P	32.00	04/16/2027
DFSV1	C/P	33.00	04/16/2027	DFSV	C/P	33.00	04/16/2027
DFSV1	C/P	34.00	04/16/2027	DFSV	C/P	34.00	04/16/2027
DFSV1	C/P	35.00	04/16/2027	DFSV	C/P	35.00	04/16/2027
DFSV1	C/P	36.00	04/16/2027	DFSV	C/P	36.00	04/16/2027
DFSV1	C/P	37.00	04/16/2027	DFSV	C/P	37.00	04/16/2027
DFSV1	C/P	38.00	04/16/2027	DFSV	C/P	38.00	04/16/2027
DFSV1	C/P	39.00	04/16/2027	DFSV	C/P	39.00	04/16/2027
DFSV1	C/P	40.00	04/16/2027	DFSV	C/P	40.00	04/16/2027
DFSV1	C/P	41.00	04/16/2027	DFSV	C/P	41.00	04/16/2027
DFSV1	C/P	42.00	04/16/2027	DFSV	C/P	42.00	04/16/2027
DFSV1	C/P	43.00	04/16/2027	DFSV	C/P	43.00	04/16/2027
DFSV1	C/P	44.00	04/16/2027	DFSV	C/P	44.00	04/16/2027
DFSV1	C/P	45.00	04/16/2027	DFSV	C/P	45.00	04/16/2027
DFSV1	C/P	46.00	04/16/2027	DFSV	C/P	46.00	04/16/2027
DFSV1	C/P	47.00	04/16/2027	DFSV	C/P	47.00	04/16/2027
DFSV1	C/P	48.00	04/16/2027	DFSV	C/P	48.00	04/16/2027
DFSV1	C/P	49.00	04/16/2027	DFSV	C/P	49.00	04/16/2027

Disclaimer: This Information Memo summarizes corporate events affecting listed options. No warranty of accuracy or completeness is given with respect to the summary. Option series information is based on series existing at OCC at the time this Memo was generated.

Contact Information: Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845 or emailed to boxmoc@boxoptions.com.