

Stock Split

December 14, 2023

Exchange/Memo ID: 206296

ONN / OCC ID: 53608



Lufax Holding Ltd - Reverse Split

Ex - Distribution Date: 12/15/2023

DESCRIPTION:

Lufax Holding Ltd (LU) has announced a 1-for-4 reverse stock split. As a result of the reverse stock split, each LU American Depositary Share will be converted into the right to receive 0.25 (New) Lufax Holding Ltd American Depositary Shares. The reverse stock split will become effective before the market open on December 15, 2023.

Contract Adjustments:

Pursuant to the By-Laws of the Options Clearing Corporation ("OCC"), the below options will be adjusted as follows.

Adjustment Date: 12/15/2023

Option Symbol: LU becomes LU1

Underlying Security: LU remains LU

CUSIP: 54975P201

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

Old Deliverable:

New Deliverable: 25 (New) Lufax Holding Ltd (LU) American Depositary Shares

Pricing Considerations: The underlying price for LU1 will be determined as follows:

$$LU1 = 0.25 (LU)$$

Additional Exchange Info: Any resting orders in the above options in the BOX order book will be cancelled by the BOX Market Operations Center after the close on December 14, 2023

| Old Option Symbol | Old PutCall Code | Old Strike Price | Old Contract Date | New Option Symbol | New PutCall Code | New Strike Price | New Contract Date |
|-------------------|------------------|------------------|-------------------|-------------------|------------------|------------------|-------------------|
| LU | C/P | 1.00 | 12/15/2023 | LU1 | C/P | 1.00 | 12/15/2023 |
| LU | C/P | 2.00 | 12/15/2023 | LU1 | C/P | 2.00 | 12/15/2023 |
| LU | C/P | 3.00 | 12/15/2023 | LU1 | C/P | 3.00 | 12/15/2023 |
| LU | C/P | 4.00 | 12/15/2023 | LU1 | C/P | 4.00 | 12/15/2023 |
| LU | C/P | 5.00 | 12/15/2023 | LU1 | C/P | 5.00 | 12/15/2023 |
| LU | C/P | 1.00 | 01/19/2024 | LU1 | C/P | 1.00 | 01/19/2024 |
| LU | C/P | 2.50 | 01/19/2024 | LU1 | C/P | 2.50 | 01/19/2024 |
| LU | C/P | 4.00 | 01/19/2024 | LU1 | C/P | 4.00 | 01/19/2024 |
| LU | C/P | 5.00 | 01/19/2024 | LU1 | C/P | 5.00 | 01/19/2024 |
| LU | C/P | 6.00 | 01/19/2024 | LU1 | C/P | 6.00 | 01/19/2024 |
| LU | C/P | 7.50 | 01/19/2024 | LU1 | C/P | 7.50 | 01/19/2024 |
| LU | C/P | 10.00 | 01/19/2024 | LU1 | C/P | 10.00 | 01/19/2024 |

| Old Option Symbol | Old PutCall Code | Old Strike Price | Old Contract Date | New Option Symbol | New PutCall Code | New Strike Price | New Contract Date |
|-------------------|------------------|------------------|-------------------|-------------------|------------------|------------------|-------------------|
| LU | C/P | 12.50 | 01/19/2024 | LU1 | C/P | 12.50 | 01/19/2024 |
| LU | C/P | 15.00 | 01/19/2024 | LU1 | C/P | 15.00 | 01/19/2024 |
| LU | C/P | 1.00 | 02/16/2024 | LU1 | C/P | 1.00 | 02/16/2024 |
| LU | C/P | 2.00 | 02/16/2024 | LU1 | C/P | 2.00 | 02/16/2024 |
| LU | C/P | 3.00 | 02/16/2024 | LU1 | C/P | 3.00 | 02/16/2024 |
| LU | C/P | 4.00 | 02/16/2024 | LU1 | C/P | 4.00 | 02/16/2024 |
| LU | C/P | 1.00 | 03/15/2024 | LU1 | C/P | 1.00 | 03/15/2024 |
| LU | C/P | 2.00 | 03/15/2024 | LU1 | C/P | 2.00 | 03/15/2024 |
| LU | C/P | 3.00 | 03/15/2024 | LU1 | C/P | 3.00 | 03/15/2024 |
| LU | C/P | 4.00 | 03/15/2024 | LU1 | C/P | 4.00 | 03/15/2024 |
| LU | C/P | 5.00 | 03/15/2024 | LU1 | C/P | 5.00 | 03/15/2024 |
| LU | C/P | 1.00 | 06/21/2024 | LU1 | C/P | 1.00 | 06/21/2024 |
| LU | C/P | 2.00 | 06/21/2024 | LU1 | C/P | 2.00 | 06/21/2024 |
| LU | C/P | 3.00 | 06/21/2024 | LU1 | C/P | 3.00 | 06/21/2024 |
| LU | C/P | 4.00 | 06/21/2024 | LU1 | C/P | 4.00 | 06/21/2024 |
| LU | C/P | 1.00 | 01/17/2025 | LU1 | C/P | 1.00 | 01/17/2025 |
| LU | C/P | 2.00 | 01/17/2025 | LU1 | C/P | 2.00 | 01/17/2025 |
| LU | C/P | 3.00 | 01/17/2025 | LU1 | C/P | 3.00 | 01/17/2025 |
| LU | C/P | 4.00 | 01/17/2025 | LU1 | C/P | 4.00 | 01/17/2025 |
| LU | C/P | 5.00 | 01/17/2025 | LU1 | C/P | 5.00 | 01/17/2025 |
| LU | C/P | 7.00 | 01/17/2025 | LU1 | C/P | 7.00 | 01/17/2025 |

Disclaimer:

This Information Memo summarizes corporate events affecting listed options. No warranty of accuracy or completeness is given with respect to the summary. Option series information is based on series existing at OCC at the time this Memo was generated.

Contact Information:

Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845 or emailed to boxmoc@boxoptions.com.