

Cash Dividend

December 01, 2023

Exchange/Memo ID: 206271

ONN / OCC ID: 53527



Gladstone Investment Corporation Business Development Company - Cash Distribution

Ex - Distribution Date: 12/04/2023

DESCRIPTION:

Gladstone Investment Corporation Business Development Company (GAIN) has announced an Irregular Cash Dividend of \$0.88 per GAIN Common Share. The record date is December 5, 2023; the payable date is December 15, 2023. The ex-distribution date for this distribution will be December 4, 2023.

Contract Adjustments:

Pursuant to the By-Laws of the Options Clearing Corporation ("OCC"), the below options will be adjusted as follows.

Adjustment Date: 12/04/2023

Option Symbol: GAIN remains GAIN

Underlying Security: GAIN remains GAIN

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

New Deliverable/Contract: 100 Gladstone Investment Corporation Business Development Company (GAIN) Common Shares

Pricing Considerations:

Additional Exchange Info: Any resting orders in the above options in the BOX order book will be cancelled by the BOX Market Operations Center after the close on December 1, 2023.

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
GAIN	C/P	2.50	12/15/2023	GAIN	C/P	1.62	12/15/2023
GAIN	C/P	5.00	12/15/2023	GAIN	C/P	4.12	12/15/2023
GAIN	C/P	7.50	12/15/2023	GAIN	C/P	6.62	12/15/2023
GAIN	C/P	10.00	12/15/2023	GAIN	C/P	9.12	12/15/2023
GAIN	C/P	12.50	12/15/2023	GAIN	C/P	11.62	12/15/2023
GAIN	C/P	15.00	12/15/2023	GAIN	C/P	14.12	12/15/2023
GAIN	C/P	17.50	12/15/2023	GAIN	C/P	16.62	12/15/2023
GAIN	C/P	20.00	12/15/2023	GAIN	C/P	19.12	12/15/2023
GAIN	C/P	22.50	12/15/2023	GAIN	C/P	21.62	12/15/2023
GAIN	C/P	25.00	12/15/2023	GAIN	C/P	24.12	12/15/2023
GAIN	C/P	2.50	01/19/2024	GAIN	C/P	1.62	01/19/2024
GAIN	C/P	5.00	01/19/2024	GAIN	C/P	4.12	01/19/2024
GAIN	C/P	7.50	01/19/2024	GAIN	C/P	6.62	01/19/2024
GAIN	C/P	10.00	01/19/2024	GAIN	C/P	9.12	01/19/2024
GAIN	C/P	12.50	01/19/2024	GAIN	C/P	11.62	01/19/2024

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
GAIN	C/P	15.00	01/19/2024	GAIN	C/P	14.12	01/19/2024
GAIN	C/P	17.50	01/19/2024	GAIN	C/P	16.62	01/19/2024
GAIN	C/P	20.00	01/19/2024	GAIN	C/P	19.12	01/19/2024
GAIN	C/P	22.50	01/19/2024	GAIN	C/P	21.62	01/19/2024
GAIN	C/P	25.00	01/19/2024	GAIN	C/P	24.12	01/19/2024
GAIN	C/P	2.50	04/19/2024	GAIN	C/P	1.62	04/19/2024
GAIN	C/P	5.00	04/19/2024	GAIN	C/P	4.12	04/19/2024
GAIN	C/P	7.50	04/19/2024	GAIN	C/P	6.62	04/19/2024
GAIN	C/P	10.00	04/19/2024	GAIN	C/P	9.12	04/19/2024
GAIN	C/P	12.50	04/19/2024	GAIN	C/P	11.62	04/19/2024
GAIN	C/P	15.00	04/19/2024	GAIN	C/P	14.12	04/19/2024
GAIN	C/P	17.50	04/19/2024	GAIN	C/P	16.62	04/19/2024
GAIN	C/P	20.00	04/19/2024	GAIN	C/P	19.12	04/19/2024
GAIN	C/P	22.50	04/19/2024	GAIN	C/P	21.62	04/19/2024
GAIN	C/P	25.00	04/19/2024	GAIN	C/P	24.12	04/19/2024
GAIN	C/P	2.50	07/19/2024	GAIN	C/P	1.62	07/19/2024
GAIN	C/P	5.00	07/19/2024	GAIN	C/P	4.12	07/19/2024
GAIN	C/P	7.50	07/19/2024	GAIN	C/P	6.62	07/19/2024
GAIN	C/P	10.00	07/19/2024	GAIN	C/P	9.12	07/19/2024
GAIN	C/P	12.50	07/19/2024	GAIN	C/P	11.62	07/19/2024
GAIN	C/P	15.00	07/19/2024	GAIN	C/P	14.12	07/19/2024
GAIN	C/P	17.50	07/19/2024	GAIN	C/P	16.62	07/19/2024
GAIN	C/P	20.00	07/19/2024	GAIN	C/P	19.12	07/19/2024
GAIN	C/P	22.50	07/19/2024	GAIN	C/P	21.62	07/19/2024
GAIN	C/P	25.00	07/19/2024	GAIN	C/P	24.12	07/19/2024

Disclaimer:

This Information Memo summarizes corporate events affecting listed options. No warranty of accuracy or completeness is given with respect to the summary. Option series information is based on series existing at OCC at the time this Memo was generated.

Contact Information:

Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845 or emailed to boxmoc@boxoptions.com.