

Stock Split

September 25, 2023

Exchange/Memo ID: 206163

ONN / OCC ID: 53255



Flotek Industries, Inc. - Reverse Split

Ex - Distribution Date: 09/26/2023

DESCRIPTION:

Flotek Industries, Inc. (FTK) has announced a 1-for-6 reverse stock split. As a result of the reverse stock split, each FTK Common Share will be converted into the right to receive approximately 0.166667 (New) Flotek Industries, Inc. Common Shares. The reverse stock split will become effective before the market open on September 26, 2023. Fractional shares will be rounded up to the nearest whole share.

Contract Adjustments:

Pursuant to the By-Laws of the Options Clearing Corporation ("OCC"), the below options will be adjusted as follows.

Adjustment Date: 09/26/2023

Option Symbol: FTK becomes FTK1

Underlying Security: FTK remains FTK

CUSIP: 343389409

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

Old Deliverable:

New Deliverable: 17 (New) Flotek Industries, Inc. (FTK) Common Shares

Pricing Considerations: The underlying price for FTK1 will be determined as follows:

$$FTK1 = 0.17 \text{ (FTK)}$$

Additional Exchange Info: Any resting orders in the above options in the BOX order book will be cancelled by the BOX Market Operations Center after the close on September 25, 2023.

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
FTK	C/P	1.00	10/20/2023	FTK1	C/P	1.00	10/20/2023
FTK	C/P	2.00	10/20/2023	FTK1	C/P	2.00	10/20/2023
FTK	C/P	3.00	10/20/2023	FTK1	C/P	3.00	10/20/2023
FTK	C/P	4.00	10/20/2023	FTK1	C/P	4.00	10/20/2023
FTK	C/P	1.00	11/17/2023	FTK1	C/P	1.00	11/17/2023
FTK	C/P	2.00	11/17/2023	FTK1	C/P	2.00	11/17/2023
FTK	C/P	3.00	11/17/2023	FTK1	C/P	3.00	11/17/2023
FTK	C/P	4.00	11/17/2023	FTK1	C/P	4.00	11/17/2023
FTK	C/P	1.00	12/15/2023	FTK1	C/P	1.00	12/15/2023
FTK	C/P	2.00	12/15/2023	FTK1	C/P	2.00	12/15/2023
FTK	C/P	3.00	12/15/2023	FTK1	C/P	3.00	12/15/2023

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
FTK	C/P	4.00	12/15/2023	FTK1	C/P	4.00	12/15/2023
FTK	C/P	1.00	03/15/2024	FTK1	C/P	1.00	03/15/2024
FTK	C/P	2.00	03/15/2024	FTK1	C/P	2.00	03/15/2024
FTK	C/P	3.00	03/15/2024	FTK1	C/P	3.00	03/15/2024
FTK	C/P	4.00	03/15/2024	FTK1	C/P	4.00	03/15/2024

Disclaimer:

This Information Memo summarizes corporate events affecting listed options. No warranty of accuracy or completeness is given with respect to the summary. Option series information is based on series existing at OCC at the time this Memo was generated.

Contact Information:

Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845 or emailed to boxmoc@boxoptions.com.