

Position Consolidation June 15, 2023

Exchange/Memo ID: 206012

ONN / OCC ID: 52663



Oxford Square Capital Corporation - Rights Expiration/Position Consolidation

DESCRIPTION:

On June 15, 2023, the deliverable of adjusted Oxford Square Capital Corporation (adjusted option symbol OXSQ1) options was further adjusted to no longer call for delivery of OXSQR Rights due to expiration of the OXSQR Rights on June 14, 2023 (See OCC Information Memo #52504). The deliverable for OXSQ1 options is now 100 Oxford Square Capital Corporation (OXSQ) Common Shares.

Oxford Square Capital Corporation (option symbol OXSQ) options also deliver 100 Oxford Square Capital Corporation (OXSQ) Common Shares. Consequently, OCC will consolidate all OXSQ1 options into OXSQ, thereafter eliminating the trading symbol OXSQ1. The consolidation will be effective before the opening of business on June 16, 2023.

The position consolidation will take place after all positions processing Thursday, June 15, 2023 and before the start of business on Friday, June 16, 2023.

All Clearing Member input of existing OXSQ1 options must use symbol OXSQ for activity commencing Friday, June 16, 2023.

Symbol Consolidation:

Pursuant to the By-Laws of the Options Clearing Corporation ("OCC"), the below options will be adjusted as follows.

Adjustment Date: 06/16/2023

Option Symbol: OXSQ1 becomes OXSQ

Underlying Security: OXSQ remains OXSQ

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

New Deliverable/Contract: 100 Oxford Square Capital Corporation (OXSQ) Common Shares

Additional Exchange Info: Any resting orders in the above options in the BOX order book will be cancelled by the BOX Market Operations Center after the close on June 15, 2023.

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
OXSQ1	C/P	2.50	06/16/2023	OXSQ	C/P	2.50	06/16/2023
OXSQ1	C/P	5.00	06/16/2023	OXSQ	C/P	5.00	06/16/2023
OXSQ1	C/P	7.50	06/16/2023	OXSQ	C/P	7.50	06/16/2023
OXSQ1	C/P	2.50	07/21/2023	OXSQ	C/P	2.50	07/21/2023
OXSQ1	C/P	5.00	07/21/2023	OXSQ	C/P	5.00	07/21/2023
OXSQ1	C/P	7.50	07/21/2023	OXSQ	C/P	7.50	07/21/2023
OXSQ1	C/P	2.50	08/18/2023	OXSQ	C/P	2.50	08/18/2023
OXSQ1	C/P	5.00	08/18/2023	OXSQ	C/P	5.00	08/18/2023
OXSQ1	C/P	7.50	08/18/2023	OXSQ	C/P	7.50	08/18/2023
OXSQ1	C/P	2.50	11/17/2023	OXSQ	C/P	2.50	11/17/2023

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
OXSQ1	C/P	5.00	11/17/2023	OXSQ	C/P	5.00	11/17/2023
OXSQ1	C/P	7.50	11/17/2023	OXSQ	C/P	7.50	11/17/2023

Disclaimer:

Contact Information: Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845 or emailed to boxmoc@boxoptions.com.

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