



BOX Trading Floor Desk Assignment Request Form

Existing BOX Trading Floor Participants must complete this form to request an additional trading desk assignment on the Trading Floor. This form must be submitted to request a desk assignment for an additional representative. Floor Participants are advised that upon approval of any trading floor desk assignment, the Floor Participant will need to staff the assigned trading desk(s) in no more than fourteen (14) calendar days from assignment or be subject to the assignment being rescinded by the Exchange. If no desks are available, completed requests will be added to the waiting list. Completed forms should be returned to tradingfloor@boxexchange.com.

To be Completed by the Trading Floor Participant

Submission Date:

Trading Floor Participant:

FIRM/CRD#:

Submitter Name:

(Chief Compliance Officer or duly authorized officer)

Signature:

BOX Exchange Internal Use Only

BOX Acknowledgment Date/Time:

BOX Trading Floor Staff Name:

BOX Trading Floor Staff Signature: