



TO: BOX Options Participants
FROM: BOX Regulation
SUBJECT: Changes to BOX Origin Codes
DATE: June 17, 2014

Beginning **July 1, 2014** BOX Options Exchange ("BOX") will accept the following order Origin Codes:

<u>Label</u>	<u>Description</u>	<u>SAIL/ HSVF/ ATR Account Type Value</u>	<u>FIX Tag 47 Rule 80A Value</u>	<u>OCC</u>	<u>Billing</u>
Public Customer	Public Customer for whom the BOX Participant is acting as agent. Trades must clear as Customer at OCC.	6	C	C	PC
Professional Customer	Any person or entity that (i) is not a broker or dealer in securities, and (ii) places more than 390 orders in listed options per day on average during a calendar month for its own beneficial accounts(s).	T	T	C	Pro Cust
Broker Dealer	Includes BOX Participants and those acting as agent for the account of a BD that is not a BOX Participant nor affiliated with a BOX Participant.	7	F	F	BD
Market Maker	BOX Participant acting as Market Maker on BOX, though not necessarily for the class on which the order is submitted. Trades clear as Market Maker at OCC.	8	M	M	MM
Away Market Maker	Market Maker registered on another exchange that is not a BOX Participant.	X	X	M	BD

Contacts

Please review the information provided herein. If you have any questions regarding this information, please contact BOX Regulation at BOXMRC@BOXregulation.com.