



TO: BOX Participants
FROM: BOX Regulation
SUBJECT: Bid/Ask Differentials in Certain High Priced Securities
DATE: September 16, 2013

BOX Rule 8040 (Obligations of Market Makers) requires market makers to maintain certain bid/ask spreads during the opening rotation. Due to the high price and/or volatility of the underlying equities listed below, BOX Regulation will allow double the required width **at the open only** in the following names:

Symbol	Issue Name
AAPL	APPLE COMPUTER INC.
ABAX	ABAXIS INC
ACT	ACTAVIS INC
ADS	ALLIANCE DATA SYS CORP
AEGR	AEGERION PHARMACEUTICALS INC
ALXN	ALEXION PHARMACEUTICALS
AMG	AFFILIATED MANAGERS GRP INC
AMGN	AMGEN, INC.
AMZN	AMAZON.COM INC
ANF	ABERCROMBIE & FITCH CO
APD	AIR PRODS CHEMS INC
ARG	AIRGAS INC
ATHN	ATHENAHEALTH INC
ATK	ALLIANT TECHSYSTEMS INC
AVB	AVALONBAY COMMUNITIES INC
AZO	AUTOZONE INC.
BA	BOEING COMPANY
BIDU	BAIDU, INC. ADR
BIIB	BIOGEN IDEC INC
BLK	BLACKROCK INC
BUD	ANHEUSER-BUSCH INBEV SA

Symbol	Issue Name
BWA	BORG WARNER INC
BWLD	BUFFALO WILD WINGS
CBRL	CBRL GROUP INC
CELG	CELGENE CORPORATION
CEO	CNOOC LIMITED
CF	CF INDUSTRIES
CLB	CORE LABORATORIES N V
CMG	CHIPOTLE MEXICAN GRILL INC
CMI	CUMMINS INC
CMP	COMPASS MINERALS INTL
CNI	CANADIAN NATL RAILWAY CO
CP	CANADIAN PAC RAILWAY LTD NEW
CREE	CREE INCORPORATED
CRR	CARBO CERAMICS INC
DDD	3-D SYSTEMS CORP
DDM	PROSHARES ULTRA DOW 30
DEO	DIAGEO PLC NEW
DRQ	DRIL QUIP INC
DUST	DIREXION DAILY GOLD MINERS BEAR 3X SHARE
EDZ	DIREX DLY EMRG MRKT BEAR 3X SHS
ENR	ENERGIZER HLDGS INC
EOG	EOG RESOURCES INC
EQIX	EQUINIX INC
EXPE	EXPEDIA INC
FDS	FACTSET RESEARCH SYSTEMS
FDX	FEDEX CORP
FISV	FISERV INC
FOSL	FOSSIL INC
FSLR	FIRST SOLAR INC
GDXJ	MARKET VECTORS JUNIOR GOLD MINERS
GLD	SPDR GOLD TRUST
GOLD	RANDGOLD RESOURCES LTD
GOOG	GOOGLE INC.
GS	GOLDMAN SACHS GROUP, INC (THE)
GWW	GRAINGER W W INC
HLF	HERBALIFE LTD
IBB	ISHARES NASDAQ BIOTECHNOLOGY INDEX FUND
ICE	INTERCONTINENTALEXCHANGE
IOC	INTEROIL CORP CDIS
ISRG	INTUITIVE SURGICAL INC
IWM	ISHARES RUSSELL 2000 INDEX FUND
IWO	ISHARES RUSSELL 2000 GROWTH INDEX
IYT	ISHARES DOW JONES TRANS AVG

Symbol	Issue Name
KSU	KANSAS CITY SOUTHERN
LNKD	LINKEDIN CORP
MA	MASTERCARD INC
MCK	MCKESSON CORPORATION
MDY	S P MIDCAP 400 DEP RCPT
MELI	MERCADOLIBRE INC
MHK	MOHAWK INDS INC
MLM	MARTIN MARIETTA MATL INC
MNX	CBOE MINI-NDX INDEX
MON	MONSANTO CO NEW
MOS	MOSAIC COMPANY THE
MTB	M & T BANK CORP
NDX	NASDAQ 100 INDEX NEW CALC
NEU	NEWMARKET CORP
NFLX	NETFLIX INC
NTES	NETEASE, INC.
NUGT	DIREXION DAILY GOLD MINERS BULL 3X SHARE
OIS	OIL ST INTL INC
OKE	ONEOK INC NEW
ONXX	ONYX PHARMACEUTICALS INC
OPEN	OPEN TABLE
ORLY	O'REILLY AUTOMOTIVE INC
OUTR	OUTERWALL INC.
PCLN	PRICELINE.COM INC
PCP	PRECISION CASTPARTS CORP
PCYC	PHARMACYCLICS INC
PH	PARKER HANNIFIN CORP
PII	POLARIS INDUSTRIES INC
PNRA	PANERA BREAD COMPANY CL A
PPG	PPG INDS INC
PRGO	PERRIGO CO
PSA	PUBLIC STORAGE (MD)
PTR	PETROCHINA COMPANY LTD
PVH	PHILLIPS VAN HEUSEN CORP
PXD	PIONEER NATURAL RESOURCES
QCOR	QUESTCOR PHARMA INC
QIHU	QIHOO 360 TECHNOLOGY CO. LTD
REGN	REGENERON PHARM
RGLD	ROYAL GOLD INC
RL	RALPH LAUREN CORPORATION
ROK	ROCKWELL AUTOMATION INC
SFLY	SHUTTERFLY INC
SGOL	ETFS GOLD TRUST

Symbol	Issue Name
SHPG	SHIRE PLC ADS
SHW	SHERWIN WILLIAMS CO
SI	SIEMENS A G
SODA	SODASTREAM INTERNATIONAL LTD
SOHU	SOHU.COM, INC.
SPG	SIMON PROPERTY GROUP INC NEW
SPLK	SPLUNK INC
SSYS	STRATASYS LTD
SVXY	PROSH SHORT VIX SHORT-TERM FUTURES ETF
TLT	ISHARES BARCLAYS 20+YR TREA BD
TM	TOYOTA MOTOR CORP
TNA	DIREXION SMALL CAPP BULL 3X
TRIP	TRIPADVISOR INC
TSCO	TRACTOR SUPPLY CO
TSLA	TESLA MOTROS INC
TWC	TIME WARNER CABLE
UA	UNDER ARMOUR INC
ULTA	ULTA SALON, COSM&FRAG INC
UNP	UNION PACIFIC CORP
UTX	UNITED TECHNOLOGIES CORP.
UYG	PROSHARES ULTRA FINANCIALS
V	VISA INC
VFC	VF CORP
VRX	VALEANT PHARMACEUTICALS INTERNATIONAL, INC.
WAT	WATERS CORP
WHR	WHIRLPOOL CORPORATION
WYNN	WYNN RESORTS LTD
YELP	YELP INCORPORATED
Z	ZILLOW INC
ZSL	PROSHARES ULTRASHORT SILVER

This relief is granted beginning on September 23, 2013 through the December 2013 Expiration Cycle.

Contacts

Please review the information provided herein. If you have any questions regarding this information, please contact Bruce Goodhue, Vice President BOX Regulation, at (617) 235-2022.