



Regulatory Circular

RC-2013-06

TO: BOX Participants

FROM: BOX Regulation

SUBJECT: Reminder: TMS Connection Audit Responses Are Due Friday, May 24, 2013

DATE: May 21, 2013

Trade Management Software (“TMS”) is optional back office software to which BOX Participants may subscribe in order to manage their BOX trades prior to transmission by BOX to OCC. TMS usage is subject to a monthly per user subscription fee according to Section V.B. of the BOX fee schedule.

BOX is conducting an audit of TMS connections and asks each Participant to confirm: 1) the total number of active TMS connections currently in use by the Participant; and 2) whether any changes are requested to that number. **All responses are due May 24, 2013** and may be submitted via e-mail to cyoung@boxregulation.com.

Please note that failure to respond will result in termination of TMS Connections after COB on Friday, May 31, 2013.

The complete BOX fee schedule is available on the BOX website at http://boxexchange.com/assets/BOX_Fee_Schedule.pdf.

Contacts

Please review the information provided herein. If you have any questions regarding this information, please contact the Market Regulation Center at (617) 235-2000.