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**Informational Circular****IC-2014-013**

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**TO: BOX Options Participants**  
**FROM: BOX Market Operations Center**  
**SUBJECT: Counterparty Account Type to be added to Trade Notices**  
**DATE: September 2, 2014**

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Beginning **Wednesday October 1<sup>st</sup>, 2014** BOX Options Exchange ("BOX") will offer Participants the ability to receive the counterparty account type in trade notices for the FIX, SAIL and ATR services.

Trade notices for Participants who elect to add this new field will then contain the account type (*Public Customer, Professional Customer, Broker Dealer, Broker Dealer cleared as Customer, Market Maker and Away Market Maker*) of the counterparty for each trade.

### Connectivity

**SAIL Participants** interested in receiving the new field will be required to connect to the new SAIL Protocol version 'A8'.

**FIX Participants** will need to contact the BOX Market Operation Center (MOC) to have their connections configured to receive the new field.

In the Production environment, **ATR Participants** will need to connect to port 20200 in order to receive the new message format which will include the new field. In the Test environments, the new version will be available on port 20200 for the IP 198.235.29.60 and port 10200 for the IP 198.235.30.17.

### Testing

Participants interested in testing this new feature may do so in the BOX Test environment starting September 8, 2014.

### Technical Details

BOX SERVICES	IMPACTS
<b>SAIL</b>	New field [Counterpart Account Type] will be appended at the end of the following message types: NT: Execution Notice ( <i>populated only on option instruments, blank on Complex Order</i> ) NL: Leg Execution Notice NX: Execution Cancellation Notice ( <i>populated only on option instruments, blank on Complex Order</i> ) NY: Leg Execution Cancellation Notice

	<p>Format and possible values will be the same as the existing field [Account Type].</p> <p>See '<i>SAIL Specifications Guide for BOX v2.2</i>'.</p>
<b>FIX</b>	<p>New field [6005-ContraAccountType] will be added to the [8-Execution Report] message type when [167-SecurityType = OPT] and [150-ExecType = 1 or 2].</p> <p>Format and possible values will be the same as the existing field [47-Rule80A].</p> <p>See '<i>FIX Specifications Guide for BOX v3.9</i>'.</p>
<b>ATR</b>	<p>New field [OppositeAccountType] will be added to the following message types after the [TradeType] field:</p> <ul style="list-style-type: none"> <li>30: Trade</li> <li>31: Trade Cancel</li> <li>35: As Of Trade</li> <li>36: As Of Trade Cancel</li> <li>40: Allocation</li> <li>41: Allocation Cancel</li> <li>45: As Of Trade Allocation</li> <li>46: As Of Trade Allocation Cancel</li> <li>50: Give Up</li> <li>51: Give Up Cancel</li> <li>55: As Of Give Up</li> <li>56: As Of Give Up Cancel</li> </ul> <p>Format and possible values will be the same as the existing field [Account Type].</p> <p>See '<i>SOLA ATR Specification Guide for BOX v2.0</i>'</p>

## **Contact**

Please contact the Market Operations Center at (866) 768-8845 or by e-mail at [BOXMOC@boxexchange.com](mailto:BOXMOC@boxexchange.com) should you require any additional information.