

Stock Split

August 26, 2014

Exchange/Memo ID: 203406

ONN / OCC ID: 35167



ASCENT SOLAR TECHNOLOGIES, INC. - REVERSE SPLIT

Ex - Distribution Date: 08/27/2014

DESCRIPTION:

Ascent Solar Technologies, Inc. (ASTI) has announced a 1-for-10 reverse stock split. As a result of the reverse stock split, each ASTI Common Share will be converted into the right to receive 0.10 (New) Ascent Solar Technologies, Inc. Shares. The reverse stock split will become effective before the market open on August 27, 2014.

Contract Adjustments:

Pursuant to the By-Laws of the Options Clearing Corporation ("OCC"), the below options will be adjusted as follows.

Adjustment Date: **08/27/2014**

Option Symbol: ASTI becomes ASTI1

Underlying Security: ASTI becomes ASTI1

CUSIP: 043635309

Contract Multiplier: 1

Strike Divisor: 1

New Multiplier: 100

Strike Price: **Remains the Same**

New Deliverable: 10 (New) Ascent Solar Technologies, Inc. (ASTI) Common Shares

On Tuesday, August 26, 2014, immediately after the BOX close, all resting orders for ASTI in the order book will be canceled by the BOX Market Operations Center. If a BOX Participant wishes to enter orders for the new ASTI1 series, the BOX Participant will need to reenter the orders before the open on Wednesday, August 27th, 2014.

Pricing Considerations: The underlying price for ASTI1 will be determined as follows:

$$\text{ASTI1} = .0.10 (\text{ASTI})$$

Additional Exchange Info:

Old Option Symbol	Old PutCall Code	Old Strike Price	Old Contract Date	New Option Symbol	New PutCall Code	New Strike Price	New Contract Date
ASTI	C/P	2.50	09/20/2014	ASTI1	C/P	2.50	09/20/2014
ASTI	C/P	5.00	09/20/2014	ASTI1	C/P	5.00	09/20/2014
ASTI	C/P	2.50	10/18/2014	ASTI1	C/P	2.50	10/18/2014
ASTI	C/P	5.00	10/18/2014	ASTI1	C/P	5.00	10/18/2014
ASTI	C/P	2.50	01/17/2015	ASTI1	C/P	2.50	01/17/2015
ASTI	C/P	5.00	01/17/2015	ASTI1	C/P	5.00	01/17/2015
ASTI	C/P	7.50	01/17/2015	ASTI1	C/P	7.50	01/17/2015
ASTI	C/P	2.50	04/17/2015	ASTI1	C/P	2.50	04/17/2015
ASTI	C/P	5.00	04/17/2015	ASTI1	C/P	5.00	04/17/2015

Disclaimer: This Information Memo summarizes corporate events affecting listed options. No warranty of accuracy or completeness is given with respect to the summary. Option series information is based on series existing at OCC at the time this Memo was generated.

Contact Information: Questions regarding this memo can be addressed to the BOX Market Operations Center at 617-235-2291 or toll free at 1-866-768-8845.